

## **Vibrational Properties of a Polycrystalline Titanium Surface Studied by Electron Energy-Loss Fine Structure (EELFS) Analysis**

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### **Abstract**

We have measured the electron energy loss fine structure (EELFS) of a polycrystalline titanium surface in reflection mode for electron beams at different incidence angles to the normal of the surface. From the analysis of the temperature dependence of the EELFS spectra, we have shown that the mean square relative displacement of the titanium atoms is particularly large on the surface layer and is estimated to be more than twice that for bulk. This result is consistent with the reported results of LEED measurements.

### **1. Introduction**

The electron energy loss fine structure (EELFS) is a feature observed at an energy above the core loss edge in electron energy loss spectra. In recent years it has been experimentally and theoretically demonstrated that the EELFS observed in both transmission mode and reflection mode can be analyzed using a formalism similar to that used for the analysis of the extended X-ray absorption fine structure (EXAFS) [1-7]. Since the reflection EELFS preferentially gives us information about a surface region owing to the short mean free path of a probe electron, it has been applied to the analysis of surface structures [5,7-13] and surface vibrational properties [13-15], which can be discussed in terms of the radial distribution function (RDF) or the EELFS Debye Waller factor (DWF). In comparison to LEED, EELFS is particularly useful in that it gives us information about the local environment around the atomic species excited by incidence electrons.

Characteristic behavior in surface layers of solids, such as the surface relaxation or the enhanced motion of atoms, are expected to be well investigated from the analysis of the depth dependence of the EELFS RDF or DWF. This is particularly so when we attempt to make clear the difference between the behaviors in the surface and those in the bulk. However, there are only a few reports on such analysis, and to our knowledge no detailed analysis of the depth dependence of the EELFS DWF has been reported. We here consider the EELFS of titanium primarily because it can comparatively

easily be measured above the titanium  $L_{23}$  edge. Actually measurements of the EELFS of titanium have been reported by some workers [1,11]. No discussion, however, is found about the change in the EELFS measured for electron beams at different incidence angles, which permits an analysis of the depth dependence of the RDF and the EELFS DWF.

In the present study we measure the temperature dependence of the EELFS of a clean titanium surface at different incidence angles. We discuss the change in the interatomic distance and the EELFS DWF with the incidence angle and with the depth from the surface. The result is compared with those obtained from the LEED measurements [16,17].

### **2. Experimental**

All measurements were done in an Ultra-High-Vacuum (UHV) system which had a base pressure of the order of  $10^{-8}$  Pa. The electron energy loss spectra and Auger electron spectra (AES) were obtained by the use of a Varian single-pass cylindrical mirror analyzer with resolution ( $E/dE$ ) of 200. We measured the derivative electron energy loss spectra using an electron beam with an incident energy  $E_p$  of 1800 eV and a current of 1.5  $\mu$ A. In order to change the penetration depth of incidence electrons, we used the electron beam at four incidence angles  $\theta_i = 20^\circ, 60^\circ, 75^\circ$  and  $80^\circ$  to the normal of the specimen surface. The modulation voltage was selected to be 10 V peak-to-peak. It took less than 15 min for a single scan. To improve the signal to noise ratio in the spectra, we repeated the measurement 4-

5 times and averaged numerically the collected data.

A polycrystalline titanium foil of dimensions 25mm × 25mm × 0.025mm and 99.95% purity was used as a specimen. It was mechanically polished, ultrasonically degreased in acetone and then spotwelded onto two tantalum support wires of 0.8mm in diameter. In order to clean the surface the specimen was heated resistively by passing an AC current through it. After this heat treatment we found no significant difference in the Auger electron spectra of the titanium surface measured at room temperature and at 373 K-973 K. The temperature of the specimen was measured with a Pt-PtRh13 % thermocouple spotwelded to it and was controlled with a thermoregulator. The fluctuation of the specimen temperature was within ±5 K. The surface of the specimen was again cleaned by repeating Ar<sup>+</sup> ion bombardment (2 keV, 10 μA/cm<sup>2</sup>) at 773 K followed by annealing at 1073 K. We continued this procedure until no segregation of sulfur was observed for the specimen heated to 973 K for more than an hour. The cleanliness of the specimen was monitored by AES. When contamination was observed, we momentarily heated the specimen to a high temperature to recover the cleanliness.

We now make a rough estimate of the penetration depth assuming that the probe electron can travel through its attenuation length in titanium metal. The attenuation length for a 1800 eV electron can be estimated to be 23.13 Å by using the formula reported by Seah and Dench[18]. The estimated penetration depth is thus 9.1Å, 5.9Å, 3.8Å and 2.8Å for  $\theta_i = 20^\circ, 60^\circ, 75^\circ$  and  $80^\circ$ , respectively. These values correspond to 3.9, 2.5, 1.6 and 1.2 monolayers with a spacing of 2.34Å and parallel to the Ti(0001) surface.

### 3. Method of EELFS Data Analysis

Figure 1 shows the temperature dependence of the electron energy loss spectra for the L<sub>23</sub> edge of the clean titanium surface that were measured at an incidence angle of 20°. The L<sub>23</sub> edge is located at an energy loss of 460 eV followed by the EELFS oscillation. The EELFS oscillation of the L<sub>23</sub> edge was found to decay with increasing temperature, while the shape and intensity of the spectrum of the L<sub>23</sub> edge to remain unchanged. The structure at 560 eV is

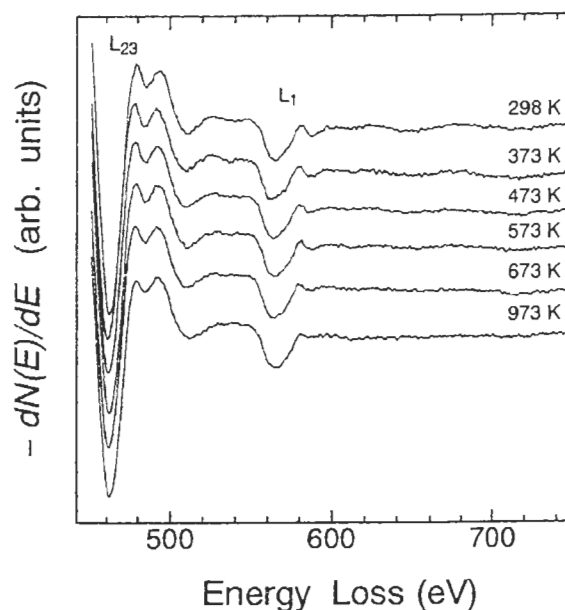
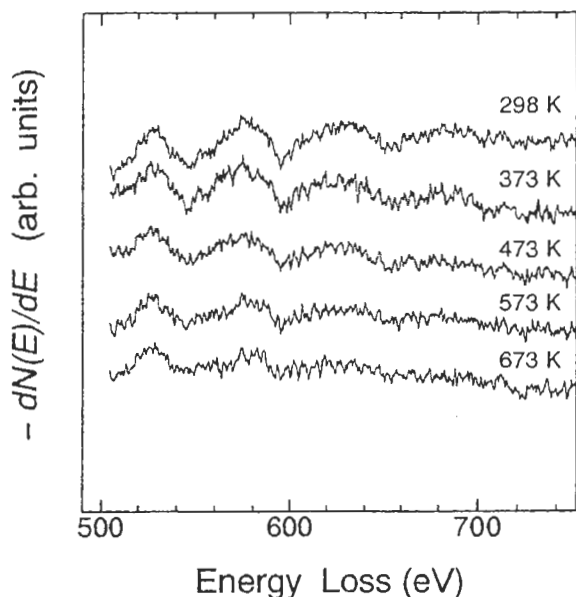


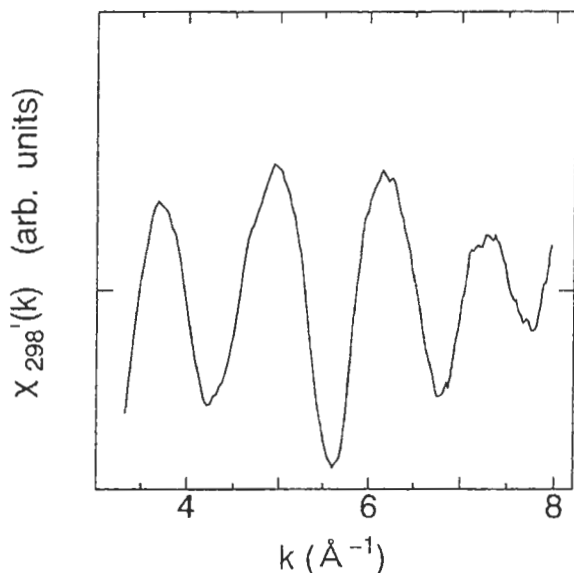
Fig. 1 The electron energy loss spectra of the titanium L<sub>23</sub> edge measured at the temperatures shown. Measurements were made in first derivative mode at an incident energy  $E_p = 1.8$  keV and the incidence angle  $\theta_i = 20^\circ$ .

due to the ionization of the L<sub>1</sub> edge and overlaps the EELFS oscillation. In order to minimize the influence of the L<sub>1</sub> edge on the analysis of the EELFS oscillation, we normalize the EELFS spectra with respect to the peak-to-peak intensity of the L<sub>23</sub> edge and subtract from the EELFS spectra the spectrum measured at 973 K. We can ignore the effect of the L<sub>1</sub> edge on the resulting EELFS spectra for the following reasons. First, through the process of the subtraction the structure due to the ionization of the L<sub>1</sub> edge is canceled because its shape and intensity is, as in the case of the L<sub>23</sub> edge, expected to remain unchanged with temperature. Secondly, the amplitude of the EELFS oscillation originated from the ionization of the L<sub>1</sub> edge is much weaker than that of the L<sub>23</sub> edge considering the difference of intensities of their thresholds. In the following, we will demonstrate that the results of our analysis, which ignores the effect of the L<sub>1</sub> edge, agree well with the reported results. This supports the assumption that the effect of the L<sub>1</sub> edge is negligible.

Figure 2 shows the EELFS spectra from which the spectrum measured at 973 K has been subtracted. The EELFS spectra are numerically integrated and the background is removed by fitting to them a sixth order polynomial curve.



**Fig. 2** The electron energy loss spectra subtracted by the spectrum measured at 973 K. The spectra were measured at  $\theta_i = 20^\circ$  and normalized with respect to the  $L_{23}$  edge intensity before making subtraction.



**Fig. 3** The EELFS modulation derived from the spectra beyond the titanium  $L_{23}$  edge measured at 298 K and  $\theta_i = 20^\circ$ . The  $k$  wave vector is referred to the  $L_{23}$  edge.

The small change in the order of the fitting polynomial curve has little effects on the shape of the modulating structure obtained. The energy loss is graduated with respect to the onset energy of the  $L_{23}$  edge (460 eV) and the spectra are then transformed into  $k$ -wave vectors. The modulating structure  $\chi'_{298}(k)$  is thus isolated and shown in figure 3. The noisy spectrum shown in figure 2 has become the

smooth  $\chi'_{298}(k)$  curve through the process of numerical integration. The maximum and minimum positions of  $\chi'_T(k)$  are consistent with those in the reported  $\chi(k)$  curves of EXAFS[19] and EELFS[1]. It is noted that  $\chi'_T(k)$  can be written as

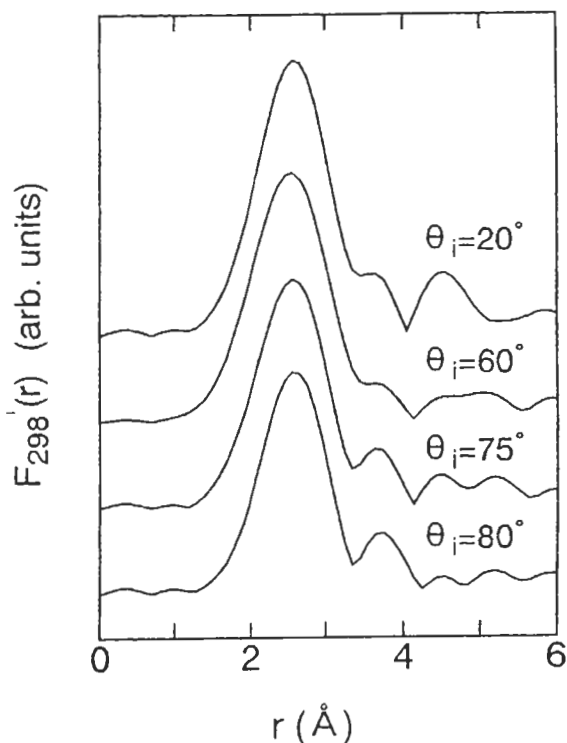
$$\chi'_T(k) = \chi_T(k) - \chi_{973}(k) \quad (1)$$

where  $\chi_T(k)$  is the EELFS modulating structure at temperature  $T$ .

#### 4. Results and Discussion

##### 4.1 EELFS radial distribution function

We here present the result of the Fourier transform of the EELFS. Following the standard method for EXAFS, we multiply the  $\chi'_T(k)$  by  $k$  and then Fourier transform it in the range  $3.3 < k(\text{\AA}^{-1}) < 8.0$  using a Henning window function. In figure 4, the magnitude of the Fourier transform ( $F'_{298}(r)$ ) of the  $\chi'_{298}(k)$  is shown for  $\theta_i = 20^\circ, 60^\circ, 75^\circ$  and  $80^\circ$ . The corresponding penetration depth is about 9.1  $\text{\AA}$ , 5.9  $\text{\AA}$ , 3.8  $\text{\AA}$  and 2.8  $\text{\AA}$ . The position of the first peak at ca. 2.5  $\text{\AA}$  is reproducible within 0.06  $\text{\AA}$  and well agreed with the reported Fourier



**Fig. 4** The magnitudes of Fourier transforms  $F'_{298}(r)$  of the EELFS modulation  $\chi'_{298}(k)$  obtained from the spectra measured at electron incidence angles shown in the figure.

transform of EXAFS[19] and EELFS[1]. The peaks beyond the second nearest neighbor shell, however, are affected by the ripple resulting from the Fourier integration within the limited  $k$ -range. Therefore we will discuss only the first peak in detail.

No difference of the first peak positions of  $F'_{298}(r)$  is found, within the experimental error, for the measurements at different incidence angles. This indicates that the change in the interatomic distance between the nearest neighbor (NN) titanium atoms is less than 0.06 Å within the depth 9.1 Å from the surface. This result is in good agreement with the LEED result by Shih et al. [16]. They showed that the contraction of the interlayer spacing between the outermost layer and the second layer of the Ti(0001) surface was 2% of the interlayer spacing in the bulk and that the interatomic distance between titanium atoms on the outermost layer agreed with that in the bulk. Therefore the contraction in the average distance from an atom on the outermost layer to its NN atoms is estimated to be less than 1% of the interatomic distance, *i.e.* less than 0.03 Å, provided that only the outermost layer is excited. If the excitation in the second layer is also considered, the resulting contraction is smaller. Since the contribution from the second layer cannot be neglected even for the measurement at  $\theta_i = 80^\circ$  in the present study, we expect no appreciable change in the position of the peak corresponding to the NN atoms.

#### 4.2 EELFS Debye Waller factor

The temperature dependence of Debye Waller Factor (DWF) has been determined based on the ratio method[20]. In the present study this method has been modified as follows. First, the contribution of the first coordination shell to the EELFS modulation has been singled out by backtransforming to the  $k$  space the first peak in the RDF. In figure 5, the resulting  $k\chi'(k)$  filtered EELFS function ( $k\chi'(k)_1$ ) and its amplitude function are shown for 298 K, 473 K and 673 K. By the use of harmonic approximation[21] the amplitude function  $A_T(k)$  is found to be proportion to  $(\exp[-2\sigma_1^2(T)k^2] - \exp[-2\sigma_1^2(973)k^2])$ . Here  $\sigma_1^2(T)$  is the EELFS DWF for the first coordination shell at temperature  $T$ .

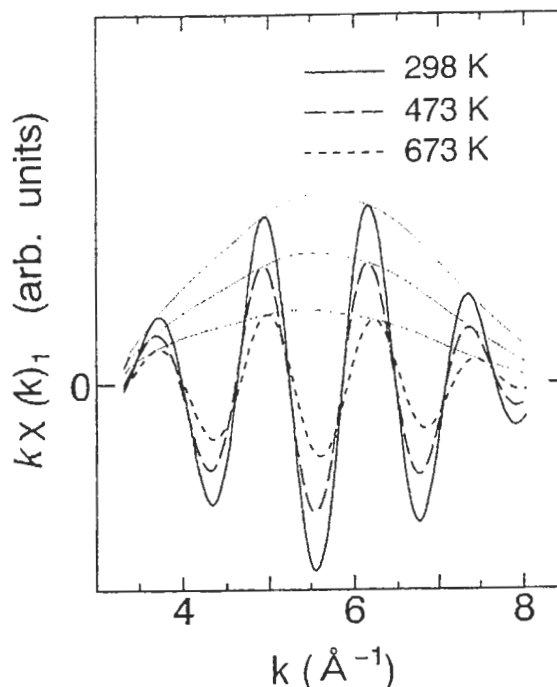


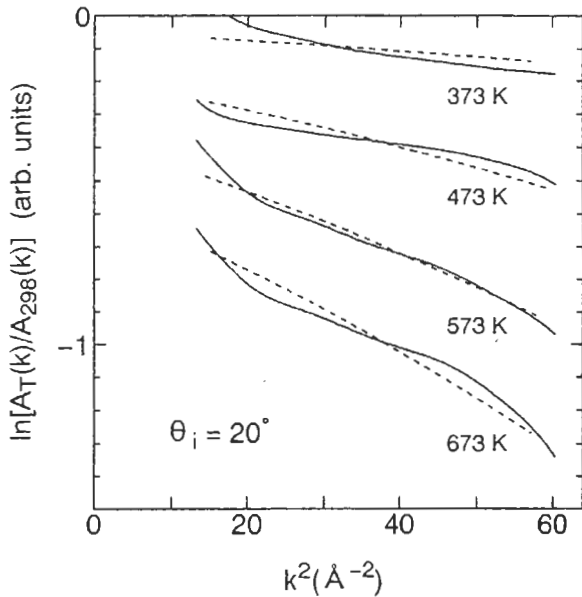
Fig. 5 The Fourier-filtered EELFS functions and the corresponding amplitudes obtained from  $\chi_T(k)$  at  $\theta_i = 20^\circ$ .

Since the coordination number does not change with temperature, the amplitude ratio can be reduced to

$$\ln \left[ \frac{A_T(k)}{A_{298}(k)} \right] = \ln \left( \frac{\exp[2(\sigma_1^2(973) - \sigma_1^2(T))k^2] - 1}{\exp[2(\sigma_1^2(973) - \sigma_1^2(298))k^2] - 1} \right) \quad (2)$$

Figure 6 shows eq.(2) calculated for 373 K, 473 K, 573 K and 673 K plotted against  $k^2$ . The two parameters  $\sigma_1^2(973) - \sigma_1^2(T)$  and  $\sigma_1^2(973) - \sigma_1^2(298)$  in eq.(2) have been determined by minimizing the sum of squares of the difference between the values calculated using the experimental result and a fitting function at each temperature.

As shown in figure 6, the overall behaviour of the the left hand side of eq.(2) is well reproduced by the fitting function. In the following, we will discuss only  $\Delta \sigma^2 \equiv \sigma_1^2(T) - \sigma_1^2(298)$ , which is the difference between the two parameters, because the right hand side of eq.(2) converges to  $2(\sigma_1^2(T) - \sigma_1^2(298))k^2$  for large  $k^2$  and only the difference of the two parameters is of significance.

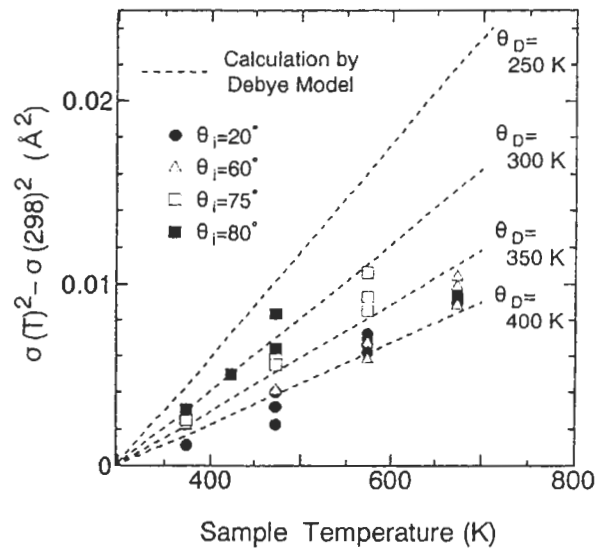


**Fig. 6** The ratios of the amplitudes at  $T = 373$  K, 473 K, 573 K and 673 K to the amplitude at 298 K plotted against  $k^2$ . The amplitudes have been calculated using the spectra measured at  $\theta_i = 20^\circ$ . The solid lines are calculated from eq.(2) and the dashed lines show the fitting functions.

In figure 7,  $\Delta\sigma^2$  is plotted for different  $\theta_i$  values. The  $\Delta\sigma^2$  value is larger for a larger  $\theta_i$  and for  $\theta_i = 80^\circ$  it is about twice that for  $\theta_i = 20^\circ$ . The estimated penetration depth is about 3.9, 2.5, 1.6 and 1.2 monolayers for  $\theta_i = 20^\circ, 60^\circ, 75^\circ$  and  $80^\circ$ , respectively. This result indicates that the  $\Delta\sigma^2$  value for the surface layer is much larger than that of the other layers in the polycrystalline titanium. Furthermore, its value can be estimated to be more than twice that for the bulk. In order to compare this result with other experimental results reported, we calculate  $\Delta\sigma^2$  using Debye approximation and estimate the Debye temperature  $\theta_D$  for different incidence angles. As in the EXAFS DWF, the EELFS DWF characterizes the mean square relative displacement (MSRD) and its functional form for the  $j$ th coordination shell is given, within Debye approximation, by

$$\sigma_j^2 = \frac{6\hbar}{m\omega_D} \left[ \frac{1}{4} + \left( \frac{T}{\theta_D} \right)^2 \int_0^{\theta_D} \frac{x}{e^x - 1} dx \right] - \frac{6\hbar}{m\omega_D} \left[ \frac{1 - \cos(q_D R_j^0)}{2(q_D R_j^0)^2} + \left( \frac{T}{\theta_D} \right)^2 \int_0^{\theta_D} \frac{q_D R_j^0 T \sin\left(\frac{q_D R_j^0 x}{\theta_D}\right)}{e^x - 1} dx \right] \quad (3)$$

Here,  $\theta_D$  is the Debye temperature,  $q_D$  is the Debye wave vector,  $m$  is the atomic mass,  $k_B$  is the Boltzmann constant,  $\hbar$  is Plank's constant

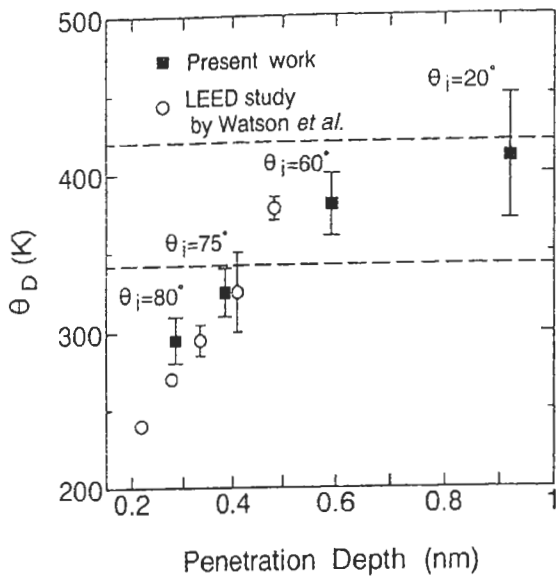


**Fig. 7**  $\Delta\sigma^2 = \sigma_1^2(T) - \sigma_1^2(298)$  for first coordination shell experimentally obtained at the incidence angles shown. The dashed lines show the calculated  $\Delta\sigma^2$  by using eq.(3) at the  $\theta_D$  values shown.

and  $R_j^0$  is the interatomic distance for the  $j$ th coordination shell. The values of  $\Delta\sigma^2$  calculated from eq.(3) are shown for  $\theta_D = 250$  K, 300 K, 350 K and 400 K by the dashed lines in figure 7. These values well reproduce the experimental results. The values of  $\theta_D$  that best reproduce the experimental result are  $410 \pm 40$  K,  $380 \pm 20$  K,  $325 \pm 20$  K and  $295 \pm 15$  K for  $\theta_i = 20^\circ, 60^\circ, 75^\circ$  and  $80^\circ$ , respectively. The  $\theta_D$  value for bulk titanium has been reported to lie in the range 342-420 K[17], which is in good agreement with those for  $\theta_i = 20^\circ$  and  $60^\circ$ .

We now compare the EELFS  $\theta_D$  values estimated above with the effective Debye temperature obtained from the LEED study of the Ti(0001) surface[17]. Since both EELFS and LEED use electrons as a probe, we can discuss the  $\theta_D$  values in terms of the penetration depth of the electrons. Figure 8 shows a comparison of the EELFS  $\theta_D$  values and the LEED  $\theta_D$  values.

The range in which the reported Debye temperature of the bulk titanium is also indicated by the dashed lines. The estimated EELFS  $\theta_D$  value is consistent with the LEED  $\theta_D$  value in that both increase as the penetration depth increases. We can observe, however, the tendency of the EELFS  $\theta_D$  to deviate to larger values at small penetration depths.



**Fig. 8** The Debye temperatures estimated from the EELFS DWF at incidence angles shown and those obtained from the LEED measurement of the Ti(0001) [17] plotted against the penetration depth of the electron. The reported Debye temperature for the bulk lies between the two dashed lines.

In regard to the similarity of the results for the EELFS  $\theta_D$  and the LEED  $\theta_D$  mentioned above, we should note the followings. It has been reported that the EELFS DWF parametrizes the MSRD along the direction of the momentum transfer of the incidence electron in the process of the energy loss[13, 15]. Since the direction of momentum transfer can not be specified under the present experimental condition, the estimated EELFS  $\theta_D$  is expected to include the effect from vibrational components both normal and parallel to the surface. In contrast the LEED  $\theta_D$  discussed above parametrizes the mean square displacement (MSD) normal to the surface ( $\langle u_{\perp}^2 \rangle$ ). It has been reported that on clean metal surfaces  $\langle u_{\perp}^2 \rangle$  is larger than the parallel component of the MSD  $\langle u_{\parallel}^2 \rangle$  [23-25] and as the depth from the surface increases, the difference between  $\langle u_{\perp}^2 \rangle$  and  $\langle u_{\parallel}^2 \rangle$  rapidly decreases and they approach the value in the bulk[22]. Therefore the EELFS  $\theta_D$  and the LEED  $\theta_D$  are expected to coincide with each other at larger penetration depth, *i.e.* at smaller incidence angles. At small penetration depths, however, because of the larger contribution of the  $\langle u_{\parallel}^2 \rangle$  on the surface layer to the EELFS  $\theta_D$ , the EELFS  $\theta_D$  is expected to be larger than the LEED  $\theta_D$ . For a further discussion of the comparison of the EELFS  $\theta_D$  and the LEED  $\theta_D$ , the detailed knowledge about  $\langle u_{\perp}^2 \rangle$  and  $\langle u_{\parallel}^2 \rangle$

for various surface planes is needed.

## 5. Conclusion

We have measured the temperature dependence of the EELFS spectra of a polycrystalline titanium surface with an electron beam at various incidence angles. From the analysis of the measured spectra, we have shown the followings. The change in the interatomic distance with depth from the surface is within the experimental error, *i.e.* less than 0.06 Å. The mean square relative displacement of the titanium atoms is particularly large on the surface layer and is estimated to be more than twice that for the bulk. This result is consistent with that for the LEED measurement.

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